# SATISH JAIN

## ARN-12349 | AMFI Registered Mutual Fund Distributor

### RISK PROFILING QUESTIONNAIRE

**Name:** _____ **PAN:** _____

**Q1. When will you need this money?**

- ☐ Within 1 year ..... **1**
- ☐ 1 to 3 years ..... **2**
- ☐ 3 to 5 years ..... **3**
- ☐ More than 5 years ..... **4**

**Q2. What do you want this investment to do?**

- ☐ Protect my capital ..... **1**
- ☐ Give me regular income ..... **2**
- ☐ Grow steadily with some ups and downs ..... **3**
- ☐ Grow as much as possible, even with large ups and downs ..... **4**

**Q3. If the value of your investment fell by 15% to 25%, what would you do?**

- ☐ Sell it to avoid further loss ..... **1**
- ☐ Reduce the investment ..... **2**
- ☐ Stay invested and wait ..... **3**
- ☐ Invest more ..... **4**

**Q4. How much do you depend on this money for your day-to-day needs?**

- ☐ It would seriously affect me if its value fell ..... **1**
- ☐ It would cause some difficulty, but manageable ..... **2**
- ☐ It would have little effect on me ..... **3**
- ☐ I do not depend on it at all ..... **4**

**Risk Category**

**Conservative** - capital protection matters more than growth ..... **4 to 8**
**Moderate** - balance between growth and stability ..... **9 to 13**
**Aggressive** - maximum growth, able to bear a large fall in value ..... **14 to 16**

**Total marks:** _____

**Risk category:** _____

| Risk Profile | Short Term (<3Y) | Medium Term (3-5Y) | Long Term (>5Y) |
|---|---|---|---|
| **Conservative (4-8)** | Overnight, Liquid, Money Market, Ultra Short Duration | Low Duration, Short Duration, Corporate Bond, Banking & PSU Debt | Short Duration, Corporate Bond, Conservative Hybrid, Equity Savings |
| **Moderate (9-13)** | Low Duration, Money Market, Arbitrage, Equity Savings | Equity Savings, Balanced Advantage, Multi Asset Allocation, Aggressive Hybrid | Balanced Advantage, Aggressive Hybrid, Mid Cap, Large Cap, Flexi Cap |
| **Aggressive (14-16)** | Arbitrage, Equity Savings | Aggressive Hybrid, Large Cap, Flexi Cap | Flexi Cap, Multi Cap, Small Cap, Sectoral / Thematic |

| Risk Profile | Equity % | Debt % | Hybrid/Others % |
|---|---|---|---|
| **Conservative (4-8)** | 0-20% | 70-85% | 5-15% |
| **Moderate (9-13)** | 40-60% | 30-50% | 10-15% |
| **Aggressive (14-16)** | 80-100% | 0-15% | 0-10% |

**I/We acknowledge and understand:**

1. RISK DISCLOSURE: Mutual Fund investments are subject to market risks, including market volatility, interest rate risk, credit risk, and other economic factors. Returns are NOT guaranteed and past performance does not indicate future results.
2. SCHEME DOCUMENTS: All relevant scheme-related documents including SID, SAI, KIM, and applicable risk factors have been made available to me/us.
3. COMMISSION DISCLOSURE: The distributor is a commission-based MF Distributor (ARN-12349). The applicable trail commission structure has been disclosed to me/us. No separate advisory fee is charged.
4. DIRECT PLAN OPTION: I/We have been informed that Direct Plans are available with lower expense ratios.
5. SUITABILITY: The Risk Profile-Scheme Suitability Matrix has been explained. I/We understand which MF categories are suitable.
6. RESPONSIBILITY: Any investment decision is based on my/our own assessment. I/We take full responsibility and shall not hold the distributor responsible for market movements.

Signature: _____

Date: ___/___/_____